

PA 1222920

REC'D 22 SEP 2004

WIPO

PCT

# THE UNITED STATES OF AMERICA

**TO ALL TO WHOM THESE PRESENTS SHALL COME:**

**UNITED STATES DEPARTMENT OF COMMERCE**

**United States Patent and Trademark Office**

**September 13, 2004**

**THIS IS TO CERTIFY THAT ANNEXED HERETO IS A TRUE COPY FROM THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE OF THOSE PAPERS OF THE BELOW IDENTIFIED PATENT APPLICATION THAT MET THE REQUIREMENTS TO BE GRANTED A FILING DATE UNDER 35 USC 111.**

**APPLICATION NUMBER: 60/505,902**

**FILING DATE: September 25, 2003**

EP/04/10277

## PRIORITY DOCUMENT

SUBMITTED OR TRANSMITTED IN  
COMPLIANCE WITH RULE 17.1(a) OR (b)

**By Authority of the  
COMMISSIONER OF PATENTS AND TRADEMARKS**



*L. Edelen*

**L. EDELEN**  
Certifying Officer

**BEST AVAILABLE COPY**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Marcus DAVIDSSON

Title of Invention: Method for Establishing and Maintaining a Connection

Attorney Docket No.: 45051-00043USPL

19249 U.S. PTO  
60/505902



Mail Stop Provisional Patent Application  
Commissioner for Patents  
Post Office Box 1450  
Alexandria, Virginia 22313-1450

Dear Madam or Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

"EXPRESS MAIL" Mailing Label No.: EV226939665US  
Date of Deposit: ..... September 26, 2003

I hereby certify that this paper, including the documents referred to therein, or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to:

Mail Stop Provisional Patent Application  
Commissioner for Patents  
Post Office Box 1450  
Alexandria, Virginia 22313-1450

Type or Print Name: ..... Carla Elkins  
Signature: *Carla Elkins*

TRANSMITTAL LETTER FOR A PROVISIONAL PATENT APPLICATION  
UNDER 37 C.F.R. § 1.53(c)

- ☒ Transmitted herewith is a Provisional Patent Application under 37 C.F.R. § 1.53(c) as identified above.
- ☐ Transmitted herewith is an Assignment to Telefonaktiebolaget LM Ericsson (publ) along with Form PTO-1595 Assignment Recordation Sheet. **Please return the recorded assignment to the undersigned.**
- ☐ The assignment recording fee under 37 C.F.R. § 1.21(h) of \$40.00 is herewith enclosed.
- ☐ Applicant(s) claim(s) small entity status under 37 CFR 1.9 and 1.27.
- ☒ The filing fee for a Provisional Patent Application under 37 C.F.R. § 1.16(k) in the amount of \$160 is herewith enclosed.
- ☒ **TOTAL FEE REQUIRED** **\$ 160**

☐ Please charge our Deposit Account No. 10-0447 in the amount of \$\_\_\_\_\_.  
This document is attached in duplicate.

☒ A check in the amount of \$160 is attached. Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0447.

☒ The Commissioner is hereby authorized to charge any underpayment or credit any overpayment of the following fees which may be associated with this communication to Deposit Account No. 10-0447.

☒ Any additional provisional patent application processing fees or surcharges under 37 C.F.R. §§ 1.16 or 1.17.

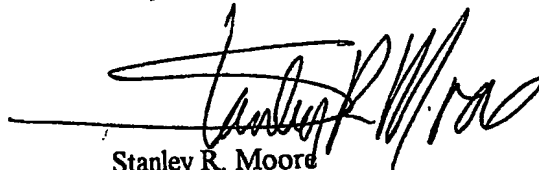
☒ The Commissioner is hereby authorized to charge any underpayment or credit any overpayment of the following fees due during the entire pendency of this application to Deposit Account No. 10-0447.

☒ Any provisional patent application processing fees under 37 C.F.R. §§ 1.16-1.20.

Respectfully submitted,

Date:

Sept 23, 2003



Stanley R. Moore  
Registration No. 26,958

**JENKENS & GILCHRIST, P.C.**  
1445 Ross Avenue, Suite 3200  
Dallas, Texas 75202-2799  
Telephone: (214) 855-4713  
Facsimile: (214) 855-4300

16519 U.S. PTO

## PROVISIONAL APPLICATION COVER SHEET

This is a request for filing a PROVISIONAL APPLICATION under 37 C.F.R. § 1.53(c)

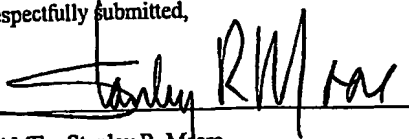
Docket Number: 45051-00043USPL		Type a plus sign (+) inside this box →	+
INVENTOR(S)/APPLICANT(S)			
LAST NAME	FIRST NAME	MIDDLE INITIAL	RESIDENCE (CITY AND EITHER STATE OR FOREIGN COUNTRY)
DAVIDSSON	Marcus		Flygelvägen 26 SE- 224 72 Lund, Sweden
TITLE OF THE INVENTION: Method for Establishing and Maintaining a Connection			
CORRESPONDENCE ADDRESS: Stanley R. Moore Jenkins & Gilchrist, P.C. 1445 Ross Avenue, Suite 3200 Dallas			
(STATE) TEXAS	(ZIP CODE) 75202-2799	(COUNTRY) USA	
ENCLOSED APPLICATION PARTS (check all that apply):			
<input checked="" type="checkbox"/> Specification	Number of Pages	20	<input type="checkbox"/> Small Entity Statement
<input checked="" type="checkbox"/> Drawings	Number of Figures	7	<input type="checkbox"/> Other : _____
<input checked="" type="checkbox"/> A check in the amount of \$160 is enclosed to cover the provisional application filing fees.			Filing Fees Due for Provisional Application:
<input type="checkbox"/> The Commissioner is hereby authorized to charge or credit any over or under payments of filing fees to Deposit Account Number: 10-0447			<input checked="" type="checkbox"/> \$160.00
			<input type="checkbox"/> \$80.00

Was the invention made by an agency of the United States Government or under a contract with an agency of the United States government?

☒ No.

☐ Yes, and the name of the U.S. Government agency and the government contract number(s) are:

Respectfully submitted,



DATE

September 23, 2003

NAME Stanley R. Moore

REGISTRATION NO.  
(if appropriate)

26,958

☐ Additional inventors are being named on separately numbered sheets attached hereto.

### PROVISIONAL APPLICATION FILING ONLY

Applicant(s) herein make claim to a new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof as substantially shown or described herein.

## METHOD FOR ESTABLISHING AND MAINTAINING A CONNECTION

### Technical Field of the Invention

The present invention relates to the field of  
5 communication, and more specifically to the field of  
establishing and maintaining a connection between a  
communication device and a network.

### Description of Related Art

10 In a communication system several protocols may be  
provided for obtaining and controlling communication  
between a communication device and a network. One such  
communication system is a mobile telecommunication system,  
such as a GSM (General System for Mobile communication), a  
15 GPRS (General Packet Radio Service), or a UMTS (Universal  
Mobile Telecommunications System) system. A communication  
device, such as a mobile radio terminal, a mobile  
telephone, a pager, a communicator, a smartphone, an  
electronic organizer, etc. communicates with a core network  
20 (CN) via a radio access network, such as the UTRAN (UMTS  
Terrestrial Radio Access Network) of the UMTS system or the  
BSS (Base Station Sub-system) of the GSM/GPRS system. The  
UTRAN comprises one or several nodes B (base stations),  
which are controlled by a radio network controller (RNC).  
25 Similarly, the BSS comprises one or several base  
transceiver stations (base stations) controlled by a base  
station controller (BSC).

To keep track of in which of several routing areas  
(RA) or location areas (LA) of the system a portable  
30 communication device is located, each portable  
communication device is assigned an identity, which the  
system has knowledge of (see below). The mobility  
management protocol is responsible for updating the  
location area when a transition from a first to a second  
35 area occurs.

The core network may comprise two service domains, the CS (circuit switched) domain and the PS (packet switched) domain. In the CS domain, the communication device may be identified by an international mobile subscriber identity (IMSI) or a temporary mobile subscriber identity (TMSI), which is a temporary identity within the LA/RA and which is non-mandatory in the CS domain. In the PS domain, the communication device may be identified by IMSI and packet temporary mobile subscriber identity (P-TMSI), which is assigned to the communication device by the network. The CS domain of the core network may comprise three network nodes, the MSC/VLR (Mobile Switching Center/Visitor Location Register), the GMSC (Gateway Mobile Switching Center) and HLR (Home Location Register). Similarly, the PS domain of the core network may comprise three network nodes, the SGSN (Serving GPRS Support Node) a GGSN (Gateway GPRS Support Node) and a HLR.

Procedures such as attach, paging, and location update are defined separately for CS and PS. Location area (LA) update may be performed for CS, whereas routing area (RA) update may be performed for PS. Combined functions are also available.

A number of protocols are provided in the communication device and the communication network, which obtain and control the communication over different connections or channels. The mobility management (MM) protocol is responsible for mobility management in the CS domain, and the GPRS mobility management (GMM) protocol is responsible in the PS domain. The MM and GMM protocols facilitate mobility management communication that is logically provided between the core network and the communication device, and which is not interpreted by the UTRAN or the BSS. These procedures comprises e.g. location management for keeping track of the present location and/or

routing area of the communication device, authentication, temporary identity management and equipment check.

The MM and GMM protocols obtain and manage signaling connections for the layers above them in the protocol stack. In the CS domain a point-to-point CS signaling connection between the MM unit in the communication device and the MM unit in the CN may be provided. In the PS domain, a virtual point-to-point PS signaling connection between the GMM unit in the communication device and the GMM unit in the CN may be provided. These signaling connections are used by the MM/GMM unit to perform e.g. location updating towards the CN, and provide upper layer protocols with secure connection channels. Upper layers requesting such channels may comprise the CC (Call Control) protocol for control of voice calls, SM (Session Management) protocol for control of data sessions, and control of additional messaging services, such as SMS (Short Message Service), MMS (Multimedia Messaging Service). The upper layers are requesting the channels by transmitting a connection establishment to the MM/GMM unit, which will obtain the channel by triggering an establishment of a signal connection. The signal connection is set up by lower layers, such as the radio resource (RR) or radio resource control (RRC) layers.

When GMM initiates a procedure in WCDMA (Wideband Code Division Multiple Access) mode, a PS signaling connection is triggered by transmitting a connection establishment request followed by a message for requesting a certain MM/GMM procedure. If the GMM wishes to maintain the established connection after the MM/GMM procedure is finished, it may indicate a Follow-On Request (FOR) in a first message for requesting a certain MM/GMM procedure, which is transmitted to the CN. A maintained connection is e.g. desired if the GMM unit from the upper layers has received a connection establishment request, or if it has

030919 10 G:\P\1074 Ericsson\0\106\_EXTENDED\_USS\_OF\_FOLLOW-ON\_REQUEST\BP\010700106\_030919\_final draft.doc.doc  
4

additional procedures to perform. Maintaining a connection instead of establishing a new connection decreases service response time, reduces power consumption, saves radio resources, and enhances quality of services to the upper layers. However, there is a problem in the prior art that the FOR has to be incorporated into the first message for establishing the connection. Thus, if the GMM unit receives an establishment request from an upper layer after the request for a certain MM/GMM procedure has been transmitted and before the established connection has been terminated, the connection might be terminated although a procedure is pending and awaiting service. If the connection could be maintained although the request for the MM/GMM procedure has been transmitted, service time and power consumption could be decreased. The same problem occurs in the CS domain if the MM unit receives a connection establishment request or a request for a certain MM procedure from the upper layer when a connection establishment request has been transmitted to the network MM unit.

WO-01/91382 discloses a system for provided routing area update message in a UMTS-based network. An attach and routing area update request is transmitted from a mobile station to a network node. Follow-on request is sent in the message if there is pending uplink traffic (signaling or user data). When the network node has processed the message, an acceptance message is transmitted to the mobile station, which may finish the procedure by returning a completion message.

### Summary of the Invention

It is an object of the invention to provide a method and apparatus for improving service response time of upper layers of a protocol stack, when an intermediate protocol obtains and controls connections between a communication apparatus and a network node.



According to a first aspect of the invention, a method in a communication apparatus achieves the object of the invention. A connection between the communication apparatus and a network node is established in response to  
5 issuing a request relating to a first procedure, such as a mobility management procedure, issued by a MM/GMM unit within the communication apparatus. According to the method, an acceptance message is received in response to the transmitting of the request. It is also determined  
10 whether a request relating to a second procedure, which may have been issued by an upper layer or an MM/GMM internal event, is pending. If any request is pending, a maintaining request, such as a FOR, for maintaining the established connection is transmitted to the network node.

15 The transmitting of the maintaining request may be executed if the pending request relating to the second procedure is received after the request relating to the first procedure is transmitted and before the acceptance message is received. Furthermore, the maintaining request  
20 may be transmitted separately or be incorporated into a response or acknowledgement message transmitted in response to the acceptance message. The established connection may be terminated when it is no longer in use.

The connection may be a circuit switched or a packet  
25 switched signaling connection. The method may be incorporated into a mobility management protocol, wherein e.g. a MM or GMM unit executes the method. The procedure initiating the request may be a location updating procedure requiring a signaling connection.

30 According to a second aspect of the invention, a second method achieves the object of the invention. The second method being implemented in a network node will maintain an established connection to a communication apparatus. A request relating to a specific procedure is  
35 received from the communication apparatus. In response to

030919 LB G:\P\1874 Ericsson\P\188\_EXTENDED\_USE\_07\_FOLLOW-ON\_REQUEST\87\810700186\_030919\_final draft.doc.doc

6

said request, an acceptance message is transmitted, which may comprise any data relating to the request. The connection is maintained a predetermined period of time after the acceptance message is transmitted or until a maintaining request is received.

The established connection may be maintained until the maintaining request for maintaining the connection is received, or until the time period has lapsed. The transmitted acceptance message may require an acknowledgement message in return, in which the maintaining message may be incorporated. However, if an establishment request is pending a response message comprising the maintaining message may be transmitted in response to the acceptance message although the acceptance message does not require so. Therefore, the network node maintains the connection the predetermined period of time such that the communication apparatus will have time to prepare and transmit the acknowledgement message.

According to a third aspect of the invention, a control device for maintaining an established connection to a communication node of a communication network achieves the object of the invention. The control device is adapted to receive an acceptance message and issue, if any request relating to a second procedure is pending, a maintaining request.

According to a fourth aspect of the invention, a communication apparatus comprising the control device achieves the object of the invention. The apparatus may be a mobile radio terminal, a mobile telephone, a pager, a communicator, a personal digital assistant, a smartphone, or an electronic organizer.

According to a fifth aspect of the invention, a control device of a network node for maintaining an established connection to a communication apparatus achieves the object of the invention. The control device is

adapted to transmit an acceptance message in response to receiving a request relating to a specific procedure and to maintain said connection at least a predetermined period of time or until a maintaining request is received.

- 5 According to a sixth aspect of the invention, a network node comprising the network node control device for maintaining an established connection to a communication apparatus achieves the object of the invention. The network node may be a serving GPRS support node (SGSN), a gateway GPRS support node (GGSN), a mobile switching center/visitor location register (MSC/VLR), a home location register (HLR) or a gateway mobile switching center (GMSC).

- 15 According to a seventh aspect of the invention, a computer program product embodied on a computer readable medium comprising computer readable instructions for carrying out the method of the invention when run by an electronic device of the communication apparatus having digital computer capabilities achieves the object of the invention.

- 20 According to an eighth aspect of the invention, a computer program product embodied on a computer readable medium comprising computer readable instructions for carrying out the method of the invention when run by an electronic device of the network node having digital computer capabilities achieves the object of the invention.

- 25 It is an advantage of the invention that the quality of services to upper layers, e.g. data sessions and SMS, of a protocol stack with respect to a mobility management protocol, such as a MM or GMM protocol, may be enhanced.
- 30 Furthermore, it is an advantage that retransmissions of signaling messages and service response time will decrease. Accordingly, the power consumption will be decreased.

Further embodiments of the invention are defined in the dependent claims.

030919 LG G:\F\1074 Skjema\1186\_EXTENDED\_USE\_OF\_FOLLOW-ON\_REQUEST\CP\210710186\_030918\_final\_draft.doc.doc

8

It should be emphasized that the term "comprises/comprising" when used in this specification is taken to specify the presence of stated features, integers, steps or components but does not preclude the presence or addition of one or more other features, integers, steps, components or groups thereof.

#### Brief Description of the Drawings

Further objects, features, and advantages of the invention will appear from the following description of several embodiments of the invention, wherein various aspects of the invention will be described in more detail with reference to the accompanying drawings, in which:

Fig. 1 is a schematic view of a mobile communication network;

Fig. 2 is a front view of a mobile terminal;

Fig. 3 is a block diagram of some components of the mobile terminal;

Fig. 4 is a block diagram of a network node;

Fig. 5 is a signaling diagram of signals transmitted between the mobile terminal and the network node;

Fig. 6 is a flowchart of one embodiment of the method according to the invention carried out in the mobile terminal; and.

Fig. 7 is a flow chart of one embodiment of the method according to the invention carried out in the network node.

#### Detailed Description of Embodiments

Figs. 1 and 2 illustrate a mobile telecommunication network and a mobile terminal 10, which may operate in said network. The mobile terminal 10 comprises an antenna 11 for transmitting signals to and for receiving signals from a base transceiver station (base stations) B1-B12 serving the area wherein the mobile terminal 10 is located. Each base

station B1-B12 is part of a radio access network, such as a UTRAN and/or a BSS. Each base station B1-B12 is controlled by a controller, such as a radio network controller (RNC), RNC1-RNC2 of a UMTS system or a base station controller (BSC) BSC1-BSC2 of a GSM/GPRS system. Each BSC/RNC may control one or several base stations. The radio access network is connected to the core network (CN), which typically comprises network nodes for providing connections to landline communication networks when the mobile terminal 10 is involved in communicating speech or data. A mobile switching center/visitor location register (MSC/VLR) a gateway mobile switching center (GMSC) and a home location register (HLR) may provide a connection in the CS domain, and a serving GPRS support node (SGSN) SGSN1-SGSN2, a HLR, and a gateway GPRS support node (GGSN) in the PS domain. Each MSC/VLR and SGSN may serve one or several RNC/BSC, which may be different.

Each base station B1-B12 is part of a user registration area (URA) URA1-URA5, which comprises one or several base stations. Each URA URA1-URA5 is part of a registration area (RA) RA1-RA3, which comprises one or several URA. Furthermore, each RA is part of a location area (LA) LA1-LA2, which comprises one or several RA.

A user interface of the mobile terminal 10 comprises an earphone or loudspeaker 12, a microphone 13, a display 14, and a user input means, such as a keypad 15.

Fig. 3 illustrates some components, which the mobile terminal 10 may comprise. The mobile terminal 10 is adapted to wirelessly communicating speech and data, such as according to the UMTS or GSM/GPRS mobile telecommunication standards. The mobile terminal 10 comprises e.g. a modulator 16 connected to a transmitter 17 for transmitting signals via the antenna 11. Furthermore, the antenna 11 is connected to a receiver 18, which is connected to a demodulator 19 for receiving signals. The interface for

030919 10 G:\PA1074 Ericsson\PA106\_EXTENDED\_USE\_OF\_FOLLOW-ON\_REQUEST\WP\B10740196\_030919\_final draft.doc.doc

10

communicating with the communication network may comprise several other components not shown here. The signals include signaling information in accordance with the air interface standard of the applicable wireless network or system in which the mobile terminal 10 operates.

The modulator 16 and the demodulator 19 are connected to a controller 30. The controller 30 comprises circuitry required for implementing the audio and logic functions of the mobile terminal 10. The controller 30 may be comprised of a digital signal processor, a microprocessor, various analog and digital converters, an ASIC (Application Specific Integrated Circuit) and other components. The control and signal processing functions of the mobile terminal 10 are allocated between these components and devices according to their respective capabilities. The controller 30 also comprises receiver means and issuing means or transmitting means, such as input and output terminals, on which messages according to the invention may be received from/transmitted to the receiver 18 and the transmitter 17, respectively. The controller 30 together with the output terminal may form the issuing means for issuing messages according to the invention.

The mobile terminal 10 further comprises various memories shown collectively as the memory 31. The memory may comprise a RAM (Random Access Memory), a ROM (Read Only Memory), and a SIM/USIM (Subscriber Identity Module/UMTS SIM) card. In the memory 31 a plurality of constants and variables may be stored that are used by the controller 30 during operation of the mobile terminal 10. The memory 31 may store permanent and temporal values of various system parameters and mobile terminal specific information, such as IMSI and P-TMSI.

The memory 31, in accordance with the aspects of this invention, also comprises several protocols for initiating and controlling communication using the communication

030919 18 G:\P\1874 EX100000\0\186\_EXTENDED\_USE\_OF\_FOLLOW-ON\_02\02027\02\010740186\_030919\_final\_draft.doc.doc

11

interface. Computer readable instructions may be stored in the memory, which may carry out the method according to the invention when run by the controller 30 having digital computer capabilities. Furthermore, the controller 30 may  
5 comprise a register for storing data and/or instructions for carrying out the method of the invention.

Fig. 4 schematically illustrates the network node, which is adapted according to the present invention. The network node may be the SGSN node or the MSC/VLR node, or  
10 any other node in the network. The network node comprises a node controller 50, which is responsible for controlling and executing network communication and protocol based procedures, such as according to the MM/GMM protocol. The node controller 50 comprises circuitry required for  
15 implementing the logical functions of the network node. The node controller 50 may be comprised of one or several digital signal processors, microprocessors, various analog and digital converters, ASICs (Application Specific Integrated Circuit) and other components for communicating  
20 with the mobile terminal 10 by means of one or several access nodes of the communication system, such as the base stations B1-B12. The node controller 50 is connected to a receiver unit 51 and a transmitter unit 52 for receiving or transmitting e.g. data from/to the mobile terminal 10.  
25 Furthermore, the node controller 50 may obtain data required according to the mobility management aspects according to the invention, such as an identifier of a new LA or RA in which the mobile terminal has entered, or obtain a new P-TMSI.  
30 The node controller 50 also comprises receiver means and issuing means or transmitting means, such as input and output terminals, on which messages according to the invention may be received from/transmitted to the receiver unit 51 and the transmitter unit 52, respectively. The node  
35 controller 50 together with the output terminal may form

030910 LB G:\P1074 Ericsson\P106\_EXTENSION\_USE\_OF\_FOLLOW-UP\_25QV237\BP\B10740106\_030910\_final draft.doc.doc

12

the issuing means for issuing messages according to the invention.

For reasons that will be explained below, the network node comprises a counter or a clock 54 for keeping track of when a specific message was sent to the mobile terminal 10. The counter or the clock 54 may be reset when the message is transmitted. Furthermore, when the counter or clock reaches a predetermined value, the node controller 50 will be notified. The clock 54 together with the node controller 50 may form a waiting device, which is arranged to wait the predetermined period of time, during which the connection is maintained. If an acknowledgement message, such as the COMPLETE message in Fig. 5, is not received from the mobile terminal in response to the transmitted message when the notification is issued, the connection between the mobile terminal 10 and the network node may be terminated if no procedure is utilizing said connection.

The network node further comprises various memories shown collectively as the memory 53. The network node memory 53 may comprise a RAM (Read Only Memory), and a ROM (Read Only Memory). In the network node memory 53 are stored a plurality of constants and variables that are used by the node controller 50 during operation of the network node. The network node memory 53 may store permanent and temporal values of various system parameters and mobile terminal specific information, such as IMSI and P-TMSI relating to one or several mobile terminals.

The network node memory 53, in accordance with the aspects of this invention, may also comprise several protocols for initiating and controlling the communication. Computer readable instructions may be stored in the memory, which may carry out the method according to the invention when run by the node controller 50. The node controller 50 may also comprise a register for storing data and/or instructions for carrying out the method of the invention.



030919 15 G:\P\1874 Ericsson\p\188\_EXTENDED\_USR\_OF\_FOLLOW-ON\_REQUEST\SP\6107+0186\_030918\_final draft.doc.doc

13

Fig. 5 is a signaling diagram illustrating the signaling scheme according to the invention. The mobile terminal 10 comprises a stack of protocols. The MM protocol is provided for supporting different procedures, e.g. location management, security management, mobile equipment management, and authentication procedures for executing security steps, such as network authentication, of a CS service. The GMM protocol supports PS services. GMM is a mobility management protocol for supporting procedures such as location management, authentication, temporary identity management, and equipment check.

The MM and GMM protocols serve upper layers and initiate, establish and manage signaling connections for the layers above them (upper layers) in the protocol stack. The MM and GMM protocols obtain signaling connections, over which the upper layers may transmit information. Requests for obtaining the connections are triggered by the GMM/MM unit. The request to establish or initiate a connection may be provided by a RRC (Radio Resource Control) message, which may carry a message, such as a layer 3 (L3) message, e.g. attach request, routing/location area update request, or a service request initiated by the MM/GMM unit. These requests may each trigger an establishment of a connection to the network node. After the signaling connection has been established, the MM/GMM unit of the mobile terminal 10 may transmit to the network node a request for a specific MM/GMM procedure over said connection. The request may e.g. be incorporated into a RRC message. In the following, such a request for a specific MM/GMM procedure is referred to as a REQUEST.

When the mobile terminal 10 e.g. enters from a first to a second location area in the CS domain, or from a first to a second routing area in the PS domain, the mobile terminal 10 must perform a location updating. Procedures for downloading e.g. parameters for the new LA/RA have to

be provided when the mobile terminal 10 enters the new LA/RA. Such requests for mobility management are handled by the MM/GMM.

In the following, the signaling between the mobile terminal 10 and the network will be explained with regard to a GMM implementation. However, the signaling may equally be implemented with regard to a MM implementation. When a mobility service that needs a connection to the network, i.e. the SGSN or MSC/VLR, is required by the upper layers, a connection establishment request is transmitted to the GMM unit in the mobile terminal 10. In response thereto, the GMM will generate and transmit a REQUEST to lower layers, which will transmit the REQUEST to the GMM unit of the network when an signaling connection is established. The REQUEST may comprise a request for a certain service, such as a request according to the session management (SM) protocol to obtain a signaling channel to transmit data over.

The GMM unit may already have an ongoing procedure running when the connection establishment request is received from the upper layers. Such GMM procedures may comprise a routing area update request, a security check request, etc. Thus, the signaling connection, a PS signaling connection in this case, may be maintained and used by the upper layers. The REQUEST may comprise any other information relating to the specific request, such as mobile terminal access capability, routing area identifier, current P-TMSI, etc., which is implementation specific.

As is known in the art, if the GMM unit receives the connection establishment request relating to a second procedure from the upper layers before the REQUEST relating to a first procedure is transmitted, a Follow-On Request (FOR) may be attached to the REQUEST relating to the first procedure. Then, the established connection will be maintained when the procedure relating to the first

030919 LM G:\P\1074 Gulliksson\0\106\_EXTENDED\_USE\_OF\_FOLLOW-ON\_REQUEST\ED\10740106\_030919\_final draft.doc.doc

15

procedure is finished, wherein the connection does not have to be established again. As should be noticed, the second procedure may relate to the same procedure as the first procedure. This is e.g. the case if a PS session is turned off at the same time as a RA update is ongoing, wherein the GMM should execute a detach procedure.

Alternatively, a FOR may be indicated if an event within the MM/GMM layer itself requires that a second procedure needs to be performed after a first procedure, such as an ongoing attach or RA update procedure, is completed.

In response to the REQUEST, the GMM unit of the network will generate an acceptance message, referred to as ACCEPT in the following, for indicating that the REQUEST is received and handled. The ACCEPT may comprise any information relating to the specific REQUEST, such as a new P-TMSI, routing area identifier etc.

Some GMM services require that the mobile terminal acknowledges that the data of the ACCEPT message is received. Thus a completion message, referred to as COMPLETE in the following, for acknowledging completion of the procedure is transmitted to the network GMM unit, e.g. as incorporated into a RRC message.

According to the invention, the upper layers (or the internal GMM event) may issue a connection establishment request relating to a second GMM procedure after the REQUEST message relating to the first GMM procedure has been transmitted to the network GMM unit. If the ACCEPT message issued in response to the REQUEST message relating to the first procedure has not been received before the connection establishment request relating to the second procedure is received from the upper layers, the FOR request may according to the invention be incorporated into the COMPLETE message finishing the procedure relating to first GMM procedure. A single bit in the COMPLETE message

may implement the FOR request, wherein "1" indicates that FOR is valid, and "0" indicates that FOR is not valid. If FOR in the COMPLETE message is valid, the network GMM unit will maintain the connection, otherwise the connection is terminated when the procedure relating to the first GMM procedure is terminated.

As not all GMM procedures require a COMPLETE message the network GMM may not expect it. To be able to maintain the connection if a FOR will be issued in such a case, the network GMM unit may always wait for a COMPLETE message a predetermined period of time or until the message is received before the connection may be terminated. The time period may range from seconds to minutes, and may be tested in each particular case. If no COMPLETE message is received within the time period, the connection may be terminated whenever the connection is not utilized.

Alternatively, if the network GMM unit does not expect a COMPLETE message, it is arranged to not wait for any COMPLETE message. However, the mobile terminal may anyway issue the COMPLETE message, although the network will discard the message without any further processing.

When the mobile terminal 10 has transmitted the COMPLETE message, it will transmit a connection establishment confirmation to the appropriate requesting protocol of the upper layer. The confirmation indicates that a signaling connection is available.

Fig. 6 illustrates the steps of one embodiment of the method according to the invention, which may be executed in the mobile terminal 10. In a first step 100, the GMM initiates a GMM procedure. In response thereto, the signal connection establishment procedure is initiated in step 101. In step 102, the REQUEST with regard to the GMM procedure is transmitted to the network GMM. Step 101 and 102 may be executed in a single step as described above. In step 103 the ACCEPT message is received from the network

GMM. As the COMPLETE message has not yet been transmitted, in step 104 it is determined whether the GMM has a pending request for a connection establishment, i.e. if a connection establishment request relating to a second GMM procedure is received from the upper layers (or triggered by an internal GMM event) after the REQUEST was transmitted. If the answer in step 104 is yes, the FOR bit in the COMPLETE message is set to "valid" in step 105. However, if the answer in step 104 is no, it is determined in step 106 whether the procedure relating to the received ACCEPT message required a COMPLETE message. If the answer in step 106 is no, the procedure is ended. However, if the answer in step 106 is yes, the FOR bit in the complete message is set to "not valid" in step 107. The procedure continues from step 105 and 107 in step 108, wherein the COMPLETE message is transmitted to the network GMM. The signaling procedure may be ended when the connection is no longer in use.

A response or acknowledgement message may alternatively always be sent regardless of whether it was requested by the network node or not. Then, the FOR bit of the COMPLETE message may be set to "valid" or "not valid". If the network node was not expecting the response message, it does not have to pay any attention to the message, which may be discarded without any further processing.

Fig. 7 illustrates one embodiment of the steps carried out in the network node according to the method of the invention. In a first step 200 the signaling connection is established, and the REQUEST relating to the GMM procedure is received in step 201. Step 200 and 201 may be executed in a single step if the REQUEST is received together with the request to establish the signal connection. Then the specific procedure relating to the REQUEST, such as a routing area update request, is identified. The network GMM performs any function required

030919 LB G:\P\1074 Ericsson\1106\_EXTENDSD\_USE\_OF\_FOLLOW-ON\_REQUEST\GP\MS7401sc\_030918\_final draft.doc.doc

18

according to the procedure specified by the REQUEST, such as identifying a new RA and allocating a new P-TMSI. Any data that should be transmitted to the mobile terminal GMM is incorporated into the ACCEPT message, which is transmitted in step 202. The network GMM may then wait in step 203 a predetermined period of time for receiving a COMPLETE message in response to the transmitted ACCEPT message, during which the connection is maintained. Alternatively, if the COMPLETE message is required according to the ACCEPT message, the GMM waits until the COMPLETE message actually is received. In step 204 it is determined whether the COMPLETE message was received within the predetermined period of time. If the answer in step 204 is yes, the procedure continues in step 205, wherein it is determined whether FOR of the COMPLETE message is "valid". If the answer in step 205 is yes, the procedure continues in step 206, wherein the established connection is maintained. When the connection maintained in step 206 is no longer in use, the connection may be terminated, wherein the procedure is ended. However, if the answer in step 204 or 205 is no, the procedure continues in step 207, wherein the connection is disconnected when the signaling connection is no longer in use by the GMM procedure.

If the network GMM unit does not expect any COMPLETE message, the procedure in Fig. 7 may proceed directly from step 202 to step 207.

If the above methods are used in the CS domain, the FOR request may have to be acknowledged by the network node by transmitting a Follow-On Proceed (FOP). If the FOR request is incorporated into the REQUEST message, the FOP may be incorporated into the ACCEPT message. However, if the FOR request is incorporated in the COMPLETE message, the FOP acknowledgement may be transmitted separately, or incorporated into another message transmitted between the network MM and the mobile terminal MM.

030919 18 G:\P\1874 Ericsson\P\188\_EXTENSION\_US8\_07\_FOLLOW-ON\_REQUEST\ED\518740184\_030919\_final draft.doc

19

The present invention has been described above with reference to specific embodiments. However, other embodiments than the above described are equally possible within the scope of the invention. Different method steps  
5 than those described above, performing the method by hardware or software, may be provided within the scope of the invention. The different features and steps of the invention may be combined in other combinations than those described. The invention is only limited by the appended  
10 patent claims.

2/2

030919 10 O:\P\1874 Ericsson\0\186\_EXTENDED\_USE\_OF\_FOLLOW-ON\_REQUEST\SP\218746104\_030918\_final draft.doc.doc

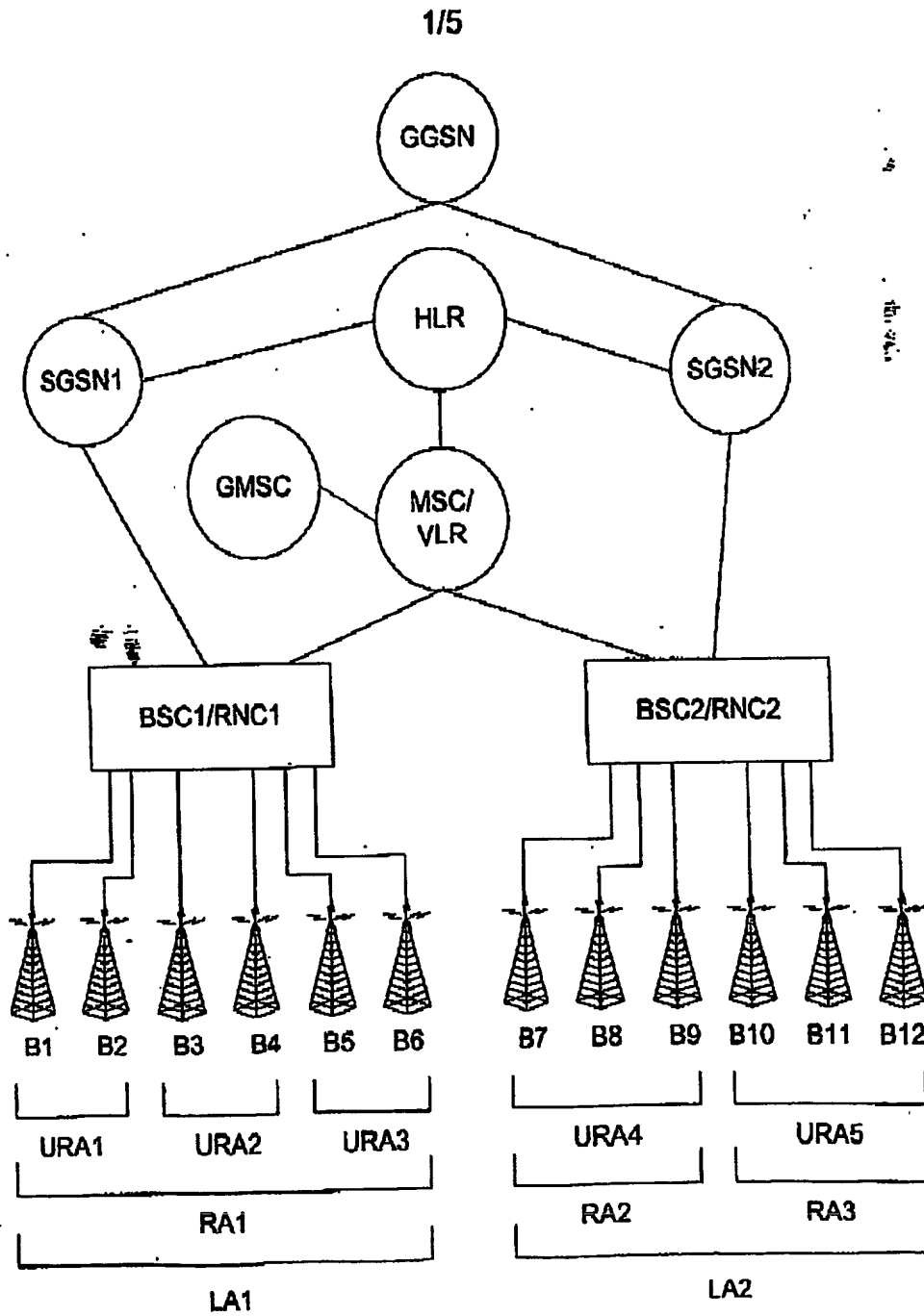
26

## ABSTRACT

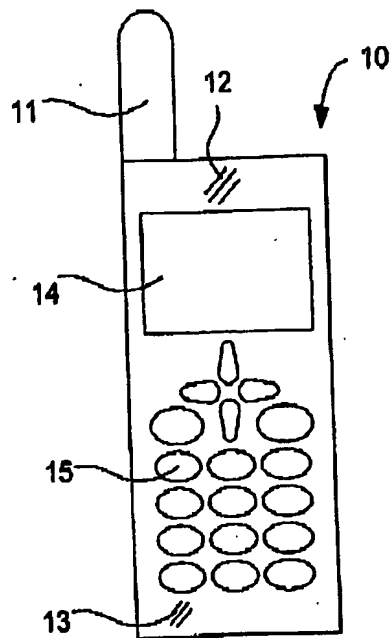
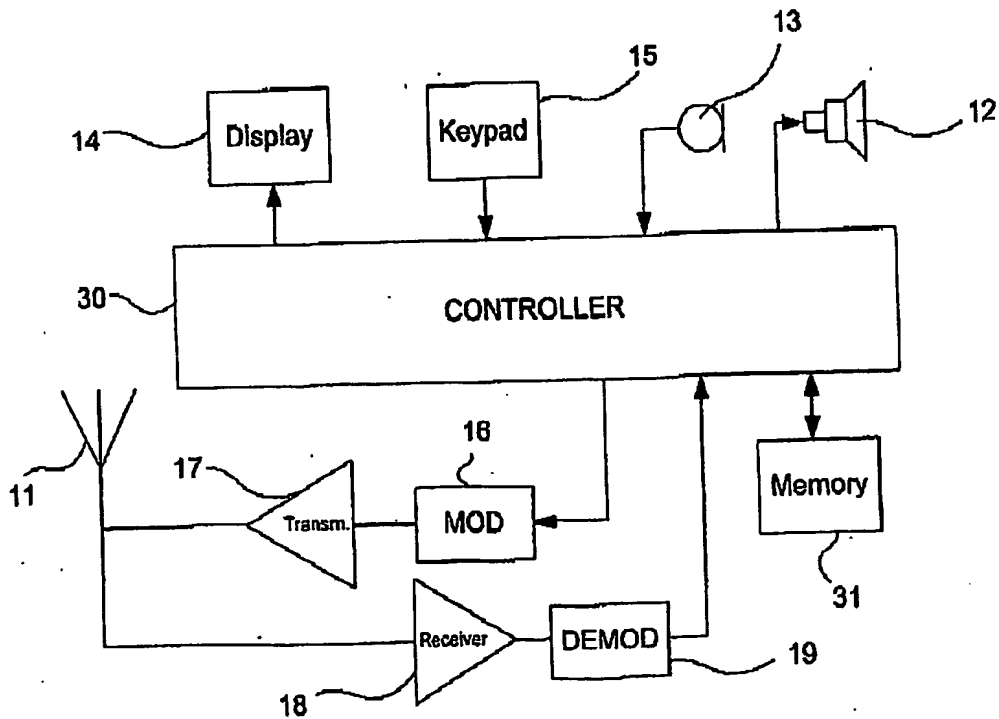
A method and a device in a communication apparatus for maintaining an established connection between said apparatus and a network node. The connection is established by a mobility management unit for providing a mobility management procedure. An acceptance message is issued by the node in response to a request relating to a first mobility management procedure. If any request relating to a second mobility management procedure issued by an upper layer is received by the mobility management unit after the request relating to the first procedure is transmitted and before the acceptance message is received, a maintaining request to maintain the connection is transmitted to the node in response to the acceptance message.

To be published together with Fig. 5.

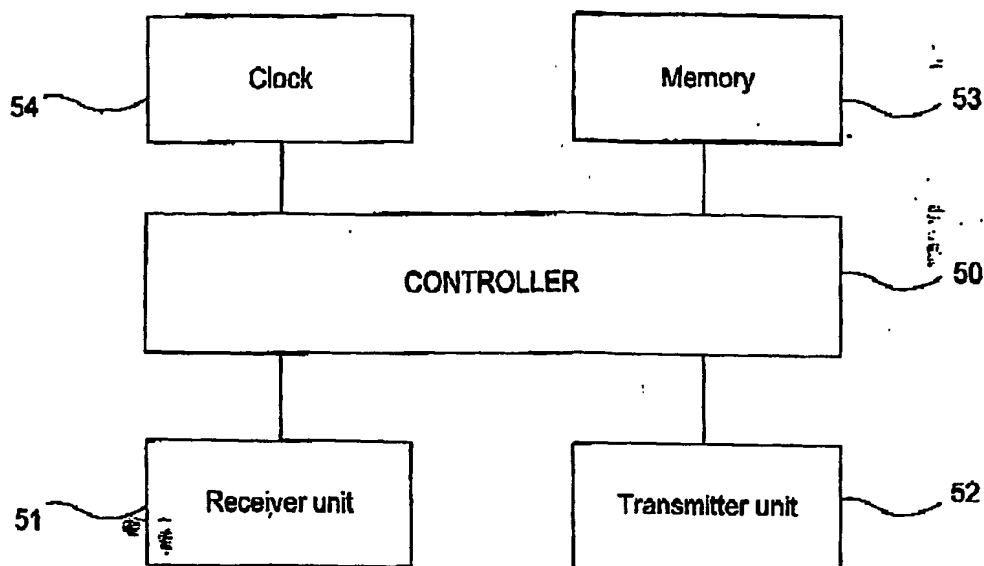
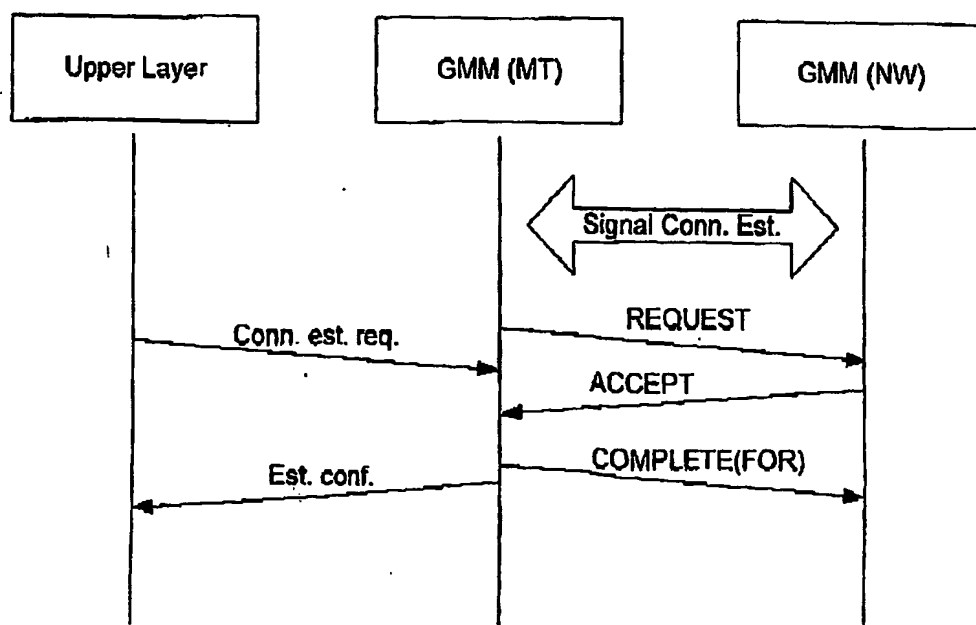


**Fig. 1**

2/5

*Fig. 2**Fig. 3*

3/5

*Fig. 4**Fig. 5*

4/5

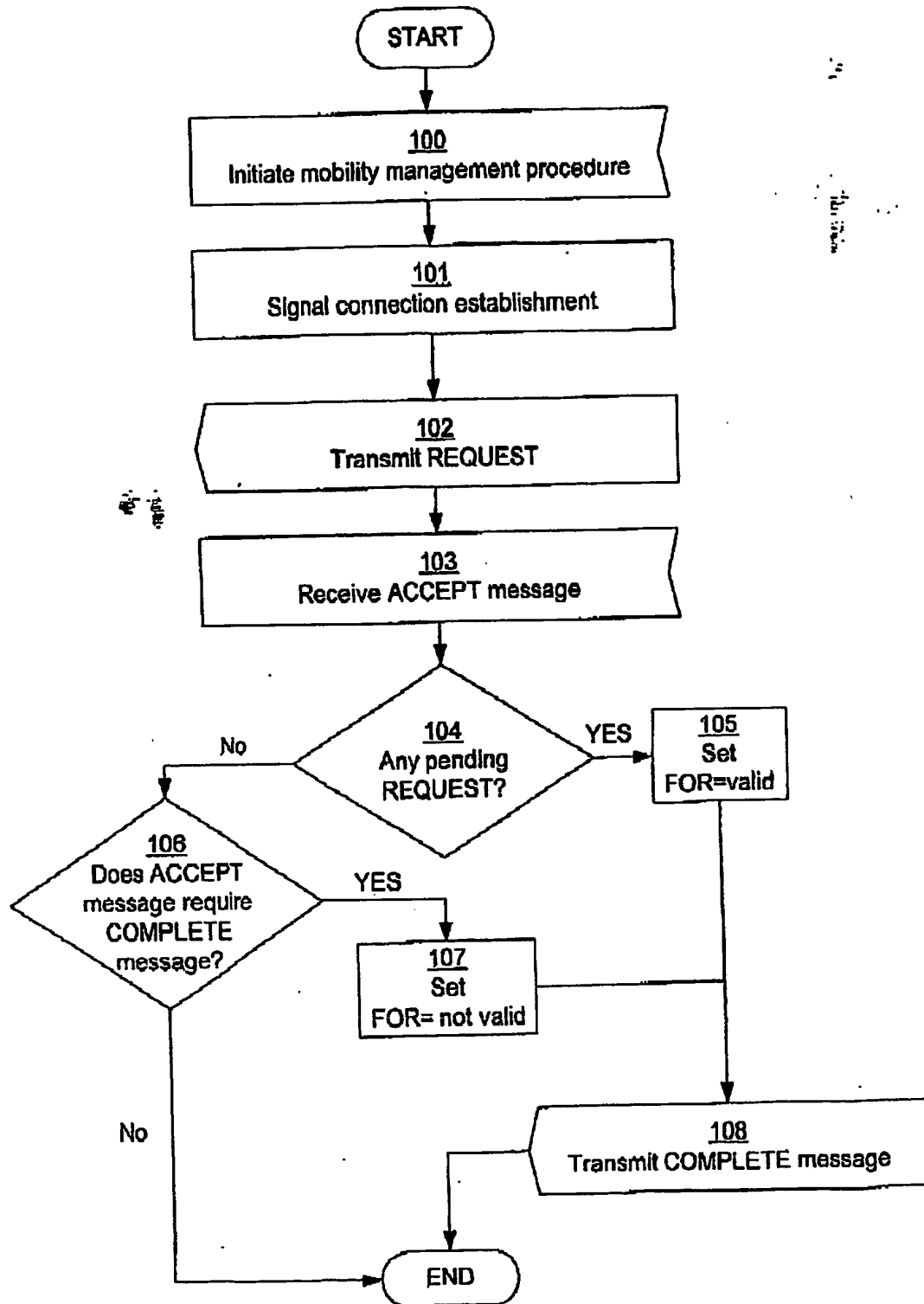
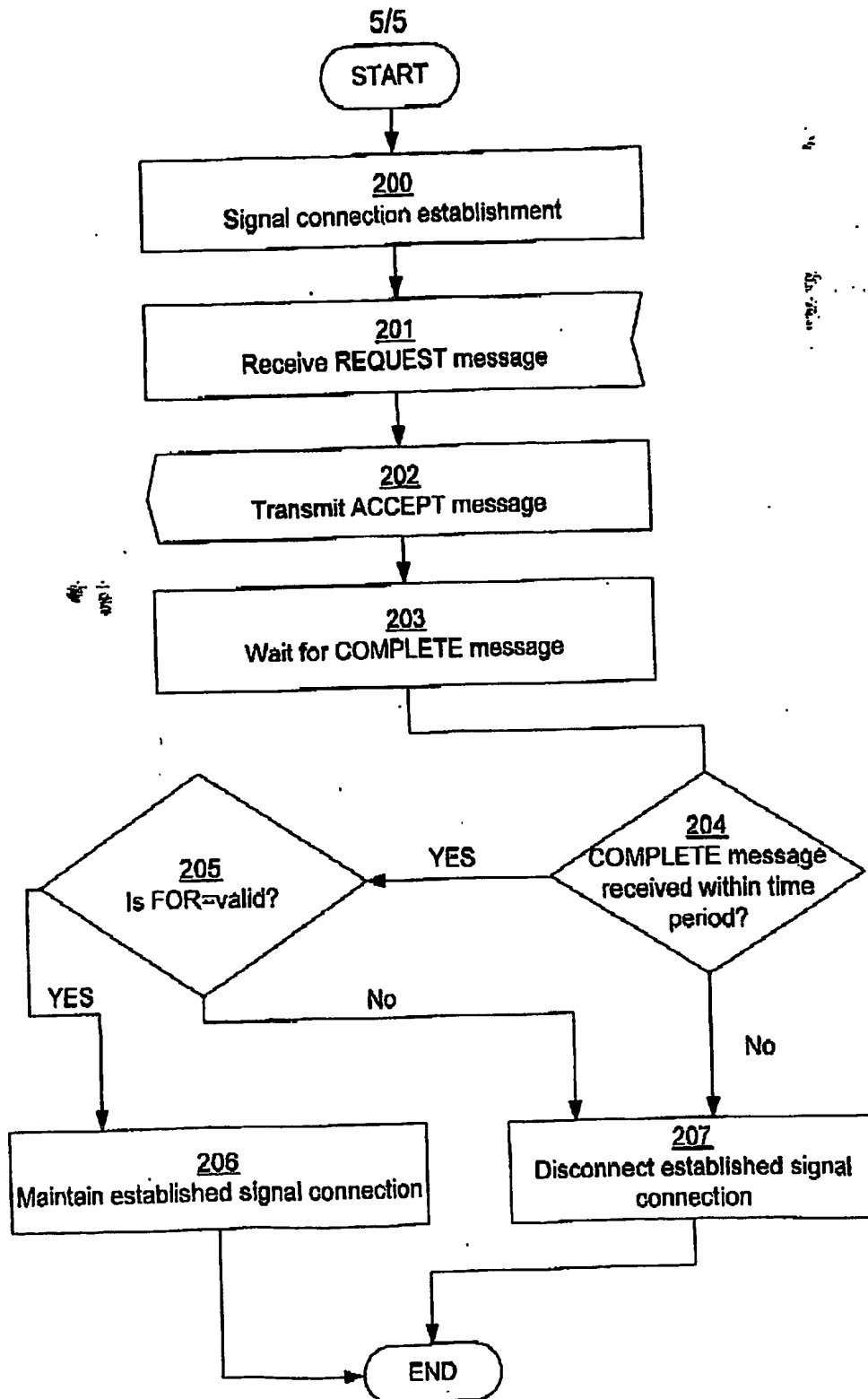


Fig. 6

*Fig. 7*

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☒ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☒ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER: \_\_\_\_\_**

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**